



## INFORMATION DISCLOSURE STATEMENT

(Use Several Sheets if necessary)

ATTY DOCKET NO.

60802D

SERIAL NO.

10/689,503

APPLICANT

Donald A. Tomalia and Lajos Balogh

FILING DATE

October 9, 2003

GROUP

NA

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE    | NAME                     | CLASS | SUB-<br>CLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|--------------------|---------|--------------------------|-------|---------------|-------------------------------|
| ny                  | 4,587,329          | 5/1986  | Donald A. Tomalia et al. | 528   | 363           |                               |
| ny                  | 5,338,532          | 8/1994  | Donald A. Tomalia et al. | 424   | 1.49          |                               |
| ny                  | 5,376,690          | 12/1994 | George R. Newkome et al. | 521   | 53            |                               |
| ny                  | 5,422,379          | 6/1995  | George R. Newkome et al. | 521   | 53            |                               |
|                     |                    |         |                          |       |               |                               |
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|                     |                    |         |                          |       |               |                               |
|                     |                    |         |                          |       |               |                               |
|                     |                    |         |                          |       |               |                               |

## FOREIGN PATENT DOCUMENTS

| DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION<br>YES   NO |
|--------------------|---------------------|---------|-------|---------------|-------------------------|
| ny 0 684 044       | 29 Nov 1995         | Europe  | A61K  | 47/48         |                         |
|                    |                     |         |       |               |                         |
|                    |                     |         |       |               |                         |
|                    |                     |         |       |               |                         |
|                    |                     |         |       |               |                         |
|                    |                     |         |       |               |                         |

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

|    |   |
|----|---|
| ny | Balogh, Lajos et al. "A revolution of nanoscale proportions", American Chemical Society, Chemical Innovation March 2000, pages 19-26. |
| ny | Grohn, Franziska et al. "Dendrimer Templates for the Formation of Gold Nanoclusters", Macromolecules 2000, Vol. 33, pages 6042-6050.  |
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EXAMINER

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DATE CONSIDERED

10-16-04

\*EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to Applicant.